United States Bankruptcy Court Southern District of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (SCC) (Jointly Administered)

PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the partial transfer, other than for security, of an undivided interest in the claim referenced in this evidence and notice.

Empyrean Investments, LLC

Name of Transferee

Name and Address where notices to transferee should be sent:

Empyrean Investments, LLC Attention: Sterling Hathaway 10250 Constellation Blvd Suite 2950 Los Angeles, CA 90067 Telephone: 310-843-3070 Fax: 310-843-4915 operations@empyrean.com

<u>Citigroup Financial Products Inc.</u>
Name of Transferor

Court Claim # (if known): 17533

Amount of Claim Transferred: \$768.853.90 Date Claim Filed: September 22, 2009

Debtor: <u>Lehman Brothers Special Financing</u> Inc.

Name and address where transferee payments should be sent (if different from above):

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Date: August 21, 2018

Ву:

Transferee/Transferee's Agent

Penalty for making a false statement. Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Doc#: US1:12251086v5

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") TO:

Lehman Brothers Special Financing Inc. (the "Debtor") AND TO:

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number: 17533

CITIGROUP FINANCIAL PRODUCTS INC. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and

EMPYREAN INVESTMENTS, LLC

Attention: Sterling Hathaway 10250 Constellation Blvd Suite 2950 Los Angeles, CA 90067 Telephone: 310-843-3070 Fax: 310-843-4915 operations@empyrean.com

and its successors and assigns ("Buyer"), all right, title and interest in and to Proof of Claim Number 17533, solely to the extent of \$768,853.90, (the "Claim") against Debtor in the Case in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court. and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptey Procedure, the Bankruptey Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptey Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly

CITIGROUP FINANCIAL PRODUCTS INC.

By:		
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Ву:	and the second	
Na	Sterling Hathaway	
Ti	Authorized Signatory	

Doc#: US1:12251086v5

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

ТО:

United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")

Attn: Clerk

AND TO:

Lehman Brothers Special Financing Inc. (the "Debtor")

Case No. 08-13555 (JMP) (Jointly Administered) (the "Case")

Proof of Claim Number: 17533

CITIGROUP FINANCIAL PRODUCTS INC. and its successors and assigns ("Seller"), for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, does hereby certify that it has unconditionally and irrevocably sold, transferred and assigned unto:

EMPYREAN INVESTMENTS, LLC

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operations@empyrean.com

and its successors and assigns ("<u>Buver</u>"), all right, title and interest in and to Proof of Claim Number 17533, solely to the extent of \$768,853.90, (the "<u>Claim</u>") against Debtor in the Case in the Bankruptey Court, or any other court with jurisdiction over the bankruptey proceedings of the Debtor.

Seller hereby waives any objection to the transfer of the Claim to Buyer on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Buyer the Claim and recognizing the Buyer as the sole owner and holder of the Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Claim to Buyer.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Partial Transfer of Claim by its duly authorized representative dated August 21, 2018.

CITIGROUP FINANCIAL PRODUCTS INC.

DocuSigned by:

By: Name: Title:	Joelle Grunick 240CDA98C9F64D3. Joelle Gavlick - Authorized Signatory			
EMPYREAN INVESTMENTS, LLC				
By: Name:	West 1997 - 1997			
Title:				

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